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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: HAMMERBECK, DAVID M.

Application No.: 10/595049 Confirmation No.: 1834

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Title: FORMULATIONS CONTAINING AN IMMUNE RESPONSE MODIFIER

Commissioner for Patents
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CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

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May 3, 2011 /Joyce M. Courtney/
Date Signed by: Joyce M. Courtney

STATEMENT UNDER 37 CFR § 3.73(b)

The undersigned states that 3M Innovative Properties Company, a corporation organized and existing under the laws of the State of Delaware, United States of America, is:

1. the assignee of the entire right, title, and interest; or.
2. an assignee of less than the entire right, title, and interest. The extent (by, percentage) of its ownership interest is _____ %.

in the patent application/patent identified above by virtue of either:

- A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
OR
- B. A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee is shown below:
 1. From the inventors to 3M Innovative Properties Company. The document was recorded in the United States Patent and Trademark Office at Reel 017032, Frame 0012.
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- As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
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- Enclosed is a Power of Attorney to Prosecute Applications before the USPTO and Correspondence Address Indication Form.

The undersigned Practitioner of Record is authorized to act on behalf of the assignee.

February 18, 2011

Date

By: /Ted K. Ringsred/

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